

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 2010-03-19 14:59:12

2. Agency: 027

3. Bureau: 00

4. Name of this Investment: EPIC Transformation

5. Unique Project (Investment) Identifier: 027-00-01-02-01-1020-00

6. What kind of investment will this be in FY 2011?: Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? *

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

The Federal Investigative Services' (FIS) EPIC Transformation directly supports the OPM Mission to "Recruit, Retain and Honor a World-Class Workforce to Serve the American People," by ensuring that agencies have sufficient information to make decisions such as credentialing, suitability, and/or security clearance determinations. Specifically, the EPIC Transformation represents the transformation of FIS's business processes and technology that support the background investigation program to process 90% of the background investigations used by the Federal Government or over 2 million cases annually. The EPIC acronym is derived from the system and/or applications that support the major business process steps involved in conducting a background investigation: E...Electronic Questionnaires for Investigations (Initiating an Investigation), P... Personnel Investigations Processing (Conducting the Investigation), I... Imaging Capability (Retention and Delivery of the Investigation), C...Central Verification System (Reporting the Results of the Investigation). While OPM/FIS is currently meeting the timelines for conducting a background investigation as set forth by the Intelligence Reform and Terrorism Prevention Act (IRTPA) and the Office of Management and Budget (OMB), the vision of the EPIC Transformation is "to enable FIS to meet ever-changing requirements, and deliver the best customer value through the effective use of technology and process." Through the EPIC Transformation, FIS seeks to further enhance the following key goals of FIS's mission: 1) Timeliness: Improve the timeliness of the investigative process; 2) Quality: Improve the quality of the investigative process; 3) Standardization: Enable standardization across the investigative process; 4) Reform: Support reform in the investigative process; 5) Security: Protect and secure investigative information.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

- a. If "yes," what was the date of this approval? ***

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
OPMBPC040900001	Time and Materials	Y	2009-01-29	2009-02-01	2012-01-31	\$14.7	*	*	*	*	*
OPMBPC510900027	Time and Materials	Y	2009-05-01	2009-05-04	2010-09-30	\$2.1	*	*	*	*	*
IA02EA3TS215	Firm Fixed Price	Y	2008-10-01	2008-11-21	2011-03-31	\$5.1	*	*	*	*	*
RFQOPM10CPB001	Time and Materials	Y	2010-03-15	2010-03-15	2012-03-15	\$2.8	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2010	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	End-to-End Average Time for Fastest 90% (National Security)	77 Days	Decrease to 74 Days	61
2010	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	Increase in eDelivery Usage by Agencies	11 agencies	Increase by 10% annually	11
2010	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Investigations Returned for Additional information (National Security)	.17%	.5%	.18%
2010	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Adjudications Reported to OPM (National Security)	New Metric (N/A)	100%	95%
2011	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	End-to-End Average Time for Fastest 90% (National Security)	TBD	Decrease to 74 Days	TBD
2011	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce	*	*	Increase in eDelivery Usage by Agencies	TBD	Increase by 10% annually	TBD

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	possible to serve the American People						
2011	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Investigations Returned for Additional information (National Security)	TBD	.5%	TBD
2011	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Adjudications Reported to OPM (National Security)	TBD	100%	TBD
2012	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	End-to-End Average Time for Fastest 90% (National Security)	TBD	Decrease to 74 Days	TBD
2012	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	Increase in eDelivery Usage by Agencies	TBD	Increase by 10% annually	TBD
2012	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Investigations Returned for Additional information (National Security)	TBD	.5%	TBD
2012	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Adjudications Reported to OPM (National Security)	TBD	100%	TBD

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	workforce possible to serve the American People						
2013	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	End-to-End Average Time for Fastest 90% (National Security)	TBD	Decrease to 74 Days	TBD
2013	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	Increase in eDelivery Usage by Agencies	TBD	Increase by 10% annually	TBD
2013	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Investigations Returned for Additional information (National Security)	TBD	.5%	TBD
2013	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Adjudications Reported to OPM (National Security)	TBD	100%	TBD
2014	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	End-to-End Average Time for Fastest 90% (National Security)	TBD	Decrease to 74 Days	TBD
2014	Hire the Best: Help agencies recruit and hire the most talented and	*	*	Increase in eDelivery Usage by Agencies	TBD	Increase by 10% annually	TBD

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	diverse Federal workforce possible to serve the American People						
2014	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Investigations Returned for Additional information (National Security)	TBD	.5%	TBD
2014	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of Adjudications Reported to OPM (National Security)	TBD	100%	TBD

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Technology Proofs of Concept/Initial Planning	\$7.4	\$7.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Case Processing and Customer Interface Enhancements	\$26.7	\$20.5	2009-10-01	2009-10-01	2010-09-30		85.00%	78.50%
Internal Processing Enhancements and Improvements	*	*	2010-10-01		2011-09-30		0.00%	0.00%
Additional Internal/Customer Process Improvements	*	*	2011-10-01		2012-09-30		0.00%	0.00%
Additional Internal/Customer Process Improvements	*	*	2012-10-01		2013-09-30		0.00%	0.00%
Additional Internal/Customer Process Improvements	*	*	2013-10-01		2014-09-30		0.00%	0.00%

* - Indicates data is redacted.